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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 0267-1952(B)
In Re Application Of: Frantz Germain et al.			
Serial No. 10/692,056	Filing Date 10/22/2003	Examiner Donovan, Lincoln D.	Group Art Unit 2832
Title: CIRCUIT INTERRUPTING DEVICE AND SYSTEM UTILIZING ELECTROMECHANICAL RESET			
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450			
37 CFR 1.97(b)			
1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.			
37 CFR 1.97(c)			
2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:			
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);			
OR			
<input checked="" type="checkbox"/> the fee set forth in 37 CFR 1.17(p).			

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
0267-1952(B)

In Re Application: Frantz Germain et al.

JUN 03 2005

Serial No.  
10/692,056

Filing Date  
10/22/2003

Examiner  
Donovan, Lincoln D.

Group Art Unit  
2832

**CIRCUIT INTERRUPTING DEVICE AND SYSTEM UTILIZING ELECTROMECHANICAL RESET**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 12-1185 as described below.
- ☒ Charge the amount of \$180.00
- ☒ Credit any overpayment.
- ☐ Charge any additional fee required.

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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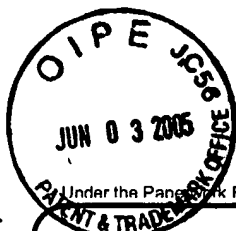
\*This certificate may only be used if paying by deposit account.

  
Signature

Dated: June 3, 2005

Paul J. Sutton, Esq.  
Reg. No. 24,201  
GREENBERG TRAURIG, LLP  
200 Park Ave  
New York, NY 10166  
Tel. No. 212.801.2108

CC:



PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

180.00

**Complete if Known**

Application Number 10/692,056

Filing Date 10/22/2003

First Named Inventor Frantz Germain

Examiner Name Donovan, Lincoln D.

Art Unit 2832

Attorney Docket No. 0267-1952(B)

**METHOD OF PAYMENT** (check all that apply)☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):☐ Deposit Account Deposit Account Number: 12-1185 Deposit Account Name: Leviton Manufacturing Co., Inc.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☐ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	\$0
Design	200	100	100	50	130	65	\$0
Plant	200	100	300	150	160	80	\$0
Reissue	300	150	500	250	600	300	\$0
Provisional	200	100	0	0	0	0	\$0

**2. EXCESS CLAIM FEES****Fee Description**

Each claim over 20 (including Reissues)

Fee (\$)  
50Small Entity Fee (\$)  
25

Each independent claim over 3 (including Reissues)

200

100

Multiple dependent claims

360

180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP =	0	\$0	\$0

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 3 or HP =	0	\$0	\$0

HP = highest number of independent claims paid for, if greater than 3.

Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
	\$0	\$0

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
0	0	0.0 (round up to a whole number)	\$250	\$0

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Information Disclosure Statement

Fees Paid (\$)

\$180

PTO did not receive the following item(s) check for  
\$180.00**SUBMITTED BY**

Signature	Registration No. 24,201	Telephone 212.801.2108
Name (Print/Type) Paul J. Sutton	(Attorney/Agent)	Date 06/03/2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Attorney Docket No. 0267-1952(B)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**APPLICANT:** Frantz Germain et al.

**SERIAL NO.:** 10/692,056

**FILING DATE:** 10/22/2003

**TITLE:** CIRCUIT INTERRUPTING DEVICE AND SYSTEM UTILIZING ELECTROMECHANICAL RESET

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**EXPRESS MAIL CERTIFICATE**

Express Mail label number: EV 570902456 US

Date of Deposit: 06/03/2005

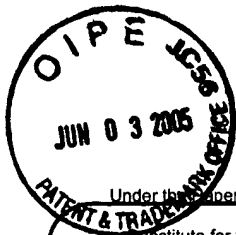
I hereby state that the following *attached* papers or fees

1. Transmittal of Information Disclosure Statement (2 pages)
2. Information Disclosure Statement by Applicant (Form PTO/SB/08a (2 pages)
3. Fee Transmittal (1 page) in duplicate
4. Return postal card

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Mail Stop Issue Fee.

Marilyn Dawkins

Signature



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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

### Complete If Known

Application Number	10/692,056
Filing Date	10/22/2003
First Named Inventor	Frantz Germain
Art Unit	2832
Examiner Name	Donovan, Lincoln D.
Attorney Docket Number	0267-1952(B)

### U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	US- 4,034,266	07-1977	Virani et al.	
	2	US- 4,442,470	04-1984	Misencik	
	3	US- 4,595,894	06-1986	Doyle et al.	
	4	US- 4,630,015	12-1986	Gernhardt et al.	
	5	US- 4,719,437	01-1988	Yun	
	6	US- 4,802,052	01-1989	Brant et al.	
	7	US- 4,851,951	07-1989	Foster, Jr.	
	8	US- 4,979,070	12-1990	Bodkin	
	9	US- 5,185,687	02-1993	Beihoff et al.	
	10	US- 5,223,810	06-1993	Van Haaren	
	11	US- 5,224,006	06-1993	MacKenzie et al.	
	12	US- 5,363,269	11-1994	McDanold	
	13	US- 5,477,412	12-1995	Neiger et al.	
	14	US- 5,510,760	04-1996	Marcou et al.	
	15	US- 5,541,800	07-1996	Misencik	
	16	US- 5,594,398	01-1997	Marcou et al.	
	17	US- 5,600,254	01-1997	Neiger et al.	
		US-			

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	1	WO 2004/070751 A3	08-2004	Leviton Manufacturing		
	2	WO 2004/070752 A2	08-2004	Leviton Manufacturing		
	3					
	4					
	5					

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Signature

Date  
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet

2

of

2

**Complete if Known**

Application Number	10/692,056
Filing Date	10/22/2003
First Named Inventor	Frantz Germain
Art Unit	2832
Examiner Name	Donovan, Lincoln D.
Attorney Docket Number	0267-1952(B)

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		Number-Kind Code <sup>2</sup> (if known)			
	18	US- 5,706,155	01-1998	Neiger et al.	
	19	US- 5,729,417	03-1998	Neiger et al.	
	20	US- 5,805,397	09-1998	MacKenzie	
	21	US- 6,040,967	03-2000	DiSalvo	
	22	US- 6,052,265	04-2000	Zaretsky et al.	
	23	US- 6,246,558	06-2001	DiSalvo et al.	
	24	US- 6,252,407	06-2001	Gershen	
	25	US- 6,266,161	05-2001	Neiger et al.	
	26	US- 6,437,953	08-2002	DiSalvo et al.	
	27	US- 5,590,753	07-2003	Finlay	
	28	US- 6,864,766	03-2005	DiSalvo et al.	
	29	US-			
	30	US-			
	31	US-			
	32	US-			
	33	US-			
	34	US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	6					
	7					
	8					
	9					
	10					

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